

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	09/859,604	
Filing Date	May 17, 2001	
First Named Inventor	Jack R. Wands	
Group Art Unit	Not yet assigned	
Examiner Name	Not yet assigned	
Attorney Docket Number	21486-032CIP	

Exam Cite U.S. Patent Issue Date Document No.		Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate	
KAC AA 6,165,464 12/26/00			12/26/00	Hudziak, et al.		424	
				FOREIGN PATENT DOCUMENTS			
Exam Initials	Cite Foreign Patent Doo No. Office Number		ocument	Name of Patentee(s) or Applicant(s)	Date of P	ublication	Translation Yes No

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.								
KAC	CA	Aster J. et al., "Functional Analysis of the TAN –1 Gene, a Human Homolog of Drosphilia Notch", Cold Spring Harb. Symp. Uant. Biol., 1994; 59:125-36.								
	СВ	Baron et al., "Insulin Receptor-Induced Phosphorylation of Cellular and Synthetic Substrates Is Regualted by the Receptor β-Subunit C-Terminus", Endocrinology, 1996 August; 137(8) 3416-23.								
	СС	Boder, et al., "Directed Evolution of Antibody Fragments with Monovalent Femtomolar Anitgen-Binding Affinity", PNAS, September 26, 2000, vol. 97 (20), 10701-10705.								
	CD	Branch, "A hitchhikers guide to antisense and nonantisense biochemical pathways", Hepatology, Vol. 24, 1996, pp. 1517-1529.								
	CE	Broaddus et al., "Strategies for the design and delivery of antisense oligonucleotides in central nervous system", Methods in Enzymology, 2000, Vol. 314, pp. 121-135.								
	CF	Capobianco et al., "Neoplastic Transformation by Truncated Alleles of Human NOTCH1/TAN1 and NOTCH2", 1997, Mol. Cell Biol. 17:6265-6273								
	CG	Czubayko et al., "Ribozyme-targeting Elucidates a Direct Role of Pleiotrophin in Tumor Growth", 1994, J. Biol. Chem. 269:21358-21363								
	СН	la Monte et al., "Microtiter Immunocytochemical ELISA Assay," 1999, Biotechniques								
	CI	de la Monte SM, et al., "Differential Effects of Ethanol on Insulin-Signaling Through the Insulin Receptor Substrate-1", Alcohol Clin. Exp. Res., 1999 May; 23(5):770-7.								
	Cl	Dinchuk, et al., "Aspartyl β-Hydroxylase (Asph) and an Evolutionarily Conserved Isoform of Asph Missing the Catalytic Domain Share Exons with Junctin," (2000) 275, 39543-54								
	CK	Foote, et al., "Breaking the Affintiy Ceiling for Antibodies and T Cell Receptors", PNAS, September 26, 2000, vol.97(20), 10679-10681.								
	CL	GENBANK Accession No. S83325								
	СМ	GENBANK Accession No. JS0670								
1/	CN	GENBANK Accession No. NM 005544								
V	СО	Ghose et al., "Immunochemical Techniques, part F, Conventional Antibodies Fc Receptors and Cytotoxicity", Methods in Enzymology, Vol. 93, 326-327, 1983								
Examine Signatur		Marin J. Ganelle Date Considered 6/13/03								

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.



Modified F	Modified Form 1449/PTO INFORMATION DISCLOSURE				Application Number 09/859,604			
					Filing Date	May 17, 2001		
					First Named Inventor	Jack R. Wands		
	STATEMENT BY APPLICANT			Group Art Unit	Not yet	Not yet assigned Not yet assigned		
	(use as many sheets as necessary)				Examiner Name			
					Attorney Docket Number	21486-032CIP		
eri Banktainai		e de la companya della companya della companya de la companya della companya dell		U.S. PAT	ENT DOCUMENTS			
Exam Initials	Exam Cite U.S. Patent Issue Date Name of Pat				entee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
								* .

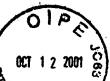
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.								
(AL	СР	Gronke et al., "Aspartyl β-hydroxlase: In vitro hydroxylation of a synthetic peptide based on the structure of the first growth factor-like domain of human factor IX", Proc. Natl. Acad. Sci. USA, Vol. 86, May 1989, pp. 3609-3613.								
	CQ	Gronke et al., "Partial Purification and Characterization of Bovine Liver Aspartyl β-Hydroxylase", 1990, J. Biol. Chem. 265:8558-8565								
	CR	Hagemeijer, "Cytogenetics and oncogenes", Leukemia, November 1992, Vol. 6, Suppl. 4, pp. 16-18.								
	CS	Hansen T. et al., "Inhibition of insulin receptor phosphorylation by peptides derived from major histocompatability complex class I antigens,", Proc. Natl. Acad. Sci. USA 1989 May ;86 (9):3123-6.								
	CT 4	Higgins et al., "Fast and sensitive multiple sequence alignments on a microcomputer", 1989, CABIOS 5(2):151-153								
	CU	Jia et al., "A fully active atalytic domain of bovine aspartyl (asparaginyl) β-hydroxylase expressed in Escherichia Coli", Proc. Natl. Acad. Sci. USA 1994 July 19;91(15):7227-31.								
	CV	Jia et al., "cDNA Cloning and Expression Bovine Aspartyl (Asparginyl) β-Hydroxylase", 1992, J. Biol. Chem. 267:14322-14327								
	CW	Jones et al., "Antibodies for targeting gene therapy", Advanced Drug Delivery Reviews, Apr. 1998, Vol. 31, pp. 153-170.								
	CX	Kelley et al., "Mutations Altering the Structure of Epidermal Growth Factor-like Coding Sequences at the Drosophilia Notch Locus, Cell., Nov. 20, 1997, Vol. 51, p. 539-548.								
	CY	Kieke, et al., "Selection of Functional T Cell Receptor Mutants From a Yeast Surface-Display Library", Proc. Natl, Acad. Sci, USA, May 1999, Vol. 97, 5651-5656								
	CZ	Kobayashi et al., "Reversal of Drug Sensitivity in Multidrug-Resistant Tumor Cells by an MDR1 (PGY1) Ribozyme", 1994, Cancer Res. 54:1271-1275								
T	CAA	Korioth et al., "Cloning and characterization of the human gene encoding aspartyl β-hydroxylase", Gene, 150, 1994, 395-399								
	CAB	Lam K., et al., "The Phosphatidylinositol 3-Kinase Serine Kinase Phosporylyates IRS-1", J. Biol. Chem., 1994 August 12:269(32):20648-52								
	CAC	Lardelli et al., "The Novel Notch homologue mouse Notch 3 lacks specific epidermal growth factor-repeats and is expressed in proliferating neurothelium", Mechanisms of Development, 46 (1994) 123-136.								
	CAD	Lavaissiere et al., "Overexpression of Human Aspartyl (asparaginyl) β-Hydroxylase in Heptocellular Carcinoma and Cholangiocarcenoma", 1996, J. Clin. Invest. 98:1313-1323								
$\sqrt{}$	CAE	Lecka-Czernik et al., "An Overexpressed Gene Transcript in Senescent and Quiescent Human Fibrolasts Encoding a Novel Protein in the Epidermal Growth Factor-Like Repeat Family Stimulates DNA Synthesis", Molecular and Cellular Biology, Vol. 15, Jan. 1995, p. 120-128.								
Examine Signatur	er e 4	Javen J. Canella Date Considered 6/13/03								

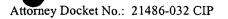
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Modified F	Modified Form 1449/PTO				Application Number	09/85	9,604		
	INFORMATION DISCLOSURE				Filing Date	May 1	May 17, 2001		
					First Named Inventor Jack R. Wands				
	STATEMENT BY APPLICANT				Group Art Unit	Not ye	i		
					Examiner Name	Not yo	Not yet assigned		
		(use as many sheets a	as necessary)		Attorney Docket Number	21486	21486-032CIP		
				U.S. PAT	ENT DOCUMENTS	Si Salaya ka		i dikkawi biy	
Exam Initials	m Cite U.S. Patent Issue Date Name of Pa			entee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate		
,									

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.							
KAL	CAF	Levy-Toledano R. et al., "Investgation of the mechanism of the dominant negative effect of mutations in the tyrosine kinase domain of the insulin receptor, EMBO J. 1994 Feb 15;13(4):825-42.							
	CAG	Li et al., "Modulation of Insulin Receptor Substrate-1 Tyrosine Phosphoryllation by an Akt/Phospatidylinositol 3-Kinase Pathway", J. Biol. Chem., 1999 Apr 2;274 (14):9351-6.							
	CAH	Mahieu et al., "Construction of a Ribozyme Directed Against Human Interleukin-6 mRNA; Evaluation of Its Catalytic Activity in Vitro and In Vivo", 1994, Blood 84:3758-65							
	CAI	Marasco et al., "Design, intracellular expression, and activity of a human anti-human immunodeficiency virus type-1 gp120 single-chain antibody" 1993, Proc. Natl., Acad. Sci. USA 90:7889-7893. (1998) 454-456 Marasco et al., "Intrabodies: turning the humoral immune system outside in for intracellular immunization", 1997, Gene Therapy 4:11-15 McGinnis et al., "The five cysteine residues located in the active site region of bovine aspartyl β-hydroxylase are not essential for catalysis", Biochemica et Biophysica Acta 1387							
	CAJ								
	CAK								
	CAL	McGinnis et al., "Site-Directed Mutagenesis of Residues in a ConservedRegion of Bovine Aspartayl β-Hydroxylase: Evidence That Histidine 675 Has a Role in Binding Fe", Biochemistry 1996, 35, 3957-3962.							
	CAM	Rozan F., Pollack, M., "Inhibition of insulin-like growth factor I receptor signaling by the vitamin D analogue EB 1089 in MCF-7 breast cancer cells: A role for insulin-like growth factor binding proteins", Int. J. Oncol., 1999, Sept. 15(3):589-94.							
	CAN	Song et al., "Proteolytic release and nuclear translocation of notch-1 are induced by presenilin-1 and impaired by pathogenic presenilin-1 mutations", 1999, Proc. Natl. Acad. Sci U.S.A. 96:6959-6963 Sullivan et al., "Development of Ribozymes for Gene Therapy", 1994, J. Invest. Derm. 103:85S-89S							
	CAO								
	CAP	Treves, et al. "Molecular Cloning, Expression, Functional Characterization, Chromosomal Localization, and Gene Structure o Junctate, a Novel Integral Calcium Binding Protein of Sarco(endo)plasmic Reticulum Membrane," (2000) 275, 39555-68							
ļ	CAQ	Van de Poll ML et al., "Identification of the Minimal Requirements for Binding to the Human Epidermal Growth Factor (EGF) Receptor Using Chimeras of Human EGF and an EGF Repeat of Drosphilia Notch", J. Biol. Chem. 1998 June 26;273(26):16075-81.							
1 /	CAR	Wang et al., "Bovine Liver Aspartyl β-Hydroxylase", 1991, J. Biol. Chem. 266:14004-14010							
	CAS	Zhang et al., "Development of a Carbon Dioxide-Capture Assay in Microtiter Plate for Aspartyl β-Hydroxylase", 1999, Anal. Biochem. 271:137-142							
V									
Examiner Signature	4	Karm J. Ganelle Date Considered 4/13/03							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





		ent of Ma	lignant						
		"Diagnosis and Treatment of Malignant Neoplasms"				FIRST NAMED APPLICANT: Wands			がのか
				FILING DATE: May 17, 2001		GRO 1642	UP:	9 200 g 200	- 1
			U.S. PATEN	T DOCUMENTS				<u> </u>	
Exam Ref Init Des	Document No.	Date	Name		Cla	ss	Sub Class	FILING AT	
KAC A2	US 6,166,176	12/26/00	Radosevich					03/17/98	
	T	F	OREIGN PAT	TENT DOCUMENTS				· · · · · · · · · · · · · · · · · · ·	
	Country & Doc. No. (11)	Pub. Date (43)			Cla	ss	Sub Class	Translation Yes N	n No
C46 C47 C48 C49 C50 C51 C52 C53	De La Monte Ghose, T. et a Gual, P. et al. Ince, N. et al. Ince, N. et al. Nishimaki, H	t al. Current , S. et al. (1 al. (1983). . (1996). En (1997). Ho (2000). Co . et al. (199	thor, Title, Date Protocols 1999). Mod Methods in Indocrinology 2 Pancer Resease 19). Jikeika	HER ART e, Pertinent Pages, Publication, Etc. in Molecular Biology, Vo ern Pathology 12: 170A. Enzymology 93: 280-333. gy 137: 3416-2423. 26(4): 362A. arch 60: 1261-1266. i Medical Journal 46: 129 enterology 112(4)Supp.:	9-136		ohn W	iley & Son	S
V C54	International	Search Rep	ort, issued	June 5, 2001.					
application, S U.S.C. 120 (c	erial No., f	filedinuation-in-p	, since abandart, and divi	iously cited by or submitted t doned, and relied upon for ar sional applications).	o the o	office is	n a prio g date u	r nder 35	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered.

Please include copy of this form with next communication to applicant